



1204.41191X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: KURATA, et al.  
Serial No.: 10/049,672  
Filed: April 30, 2002  
For: POLISHING MEDIUM FOR CHEMICAL-MECHANICAL  
POLISHING, AND METHOD OF POLISHING SUBSTRATE  
MEMBER  
Group: 1765  
Examiner: Duy Vu Nguyen Deo  
Confirm. No: 7706

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

May 29, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Supplementary European Search Report issued on February 27, 2007, in connection with a counterpart European patent application. Also enclosed are copies of documents cited in this Search Report. Further enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

Please note that this enclosed Search Report cites Japanese Patent Document No. 10-298538. No. 10-298538 has previously been cited in connection with the above-identified application, in the Information Disclosure Statement filed May 15, 2002, and is not enclosed herewith.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, after filing a Request for Continued Examination (RCE), but prior to a Final Office Action or issuance of a Notice of Allowance, or issuance of any other action closing prosecution on the

merits therein after filing the RCE. For satisfying requirements of 37 CFR 1.97(c), the following Statement is made under 37 CFR 1.97(e):

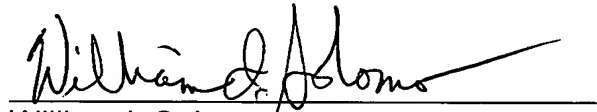
37 CFR 1.7, setting forth that when the last day for taking any action falls on a Saturday, Sunday or on a Federal Holiday within the District of Columbia, the action may be taken on the next succeeding business day which is not a Saturday, Sunday or a Federal Holiday, is noted. In view thereof, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, upon further examination of the above-identified application, is respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case No. 1204.41191X00), or credit any excess fees paid to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in black ink, appearing to read "William I. Solomon", is written over a horizontal line.

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/049.672
		Filing Date	4/30/2002
		First Named Inventor	KURATA, et al.
		Art Unit	1765
		Examiner Name	Duy Vu Nguyen Deo
Sheet 1 of 2	Attorney Docket Number	1204.41191X00	

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)				
		EP 0 896 042 A1	2-10-1999	Cabot Corporation		
		WO 98/18159	4-30-1998	Micron Technology, Inc.		
		EP 0 649 168 A2	4-19-1995	Nippon Steel Corporation		
		EP 0 913 442 A2	5-6-1999	Hitachi, Ltd.		
		WO 99/64527 A1	12-16-1999	Rodel Holdings, Inc.		
		EP 1 125 999 A1	8-22-2001	Fujimi Incorporated		
		WO 01/83638 A1	11-8-2001	Advanced Technology Materials, Inc.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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